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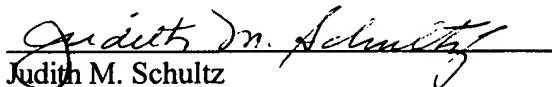
ATTORNEY'S DOCKET NO: L0562/7015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William Wheeler et al.  
Serial No: 09/825,450  
Filed: April 2, 2001 (April 3, 2001)  
Confirmation No. 1789  
For: METHOD AND APPARATUS FOR MAIL MANAGEMENT  
Examiner: ----  
Art Unit: 2152

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box DAC, Assistant Commissioner for Patents, Attn: Office of Patent Legal Administration, Washington, D.C. 20231, on the 7<sup>th</sup> day of March, 2002.

  
Judith M. Schultz

Box DAC  
Assistant Commissioner for Patents  
Attn: Office of Patent Legal Administration  
Washington, D.C. 20231

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MAR 21 2002

Adjustment date: 05/24/2002 AKELLEY  
03/15/2002 MGE BREM1 00000101 09825450  
01 FC:122

**PETITION FOR CORRECTION OF FILING DATE**

OFFICE OF PETITIONS

Repln. Ref: 05/24/2002 AKELLEY 0013572700  
DAW:232825 NSr Number:09825450  
FC: 704 \$130.00 CR

Applicants hereby petition that the above-identified application be granted the filing date of April 2, 2001.

The present application was mailed to the Patent and Trademark Office by Express Mail on April 2, 2001. Copies of the Utility Patent Application Transmittal form and the Express Mail receipt are enclosed, together with a copy of the delivery information form from the U.S. Postal Service indicating the mailing of the application by Express Mail on April 2, 2001, in accordance with 37 C.F.R. §1.10.

According to 37 C.F.R. §1.53, based on the above facts, the application should have been given the filing date of April 2, 2001. However, as can be seen on the enclosed copy of the filing

03/15/2002 MGE BREM1 00000101 09825450

01 FC:122

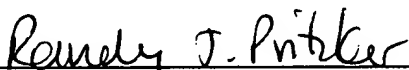
130.00 CR

receipt, the application was given a filing date of April 3, 2001, the date on which the application was received by the U.S. Patent and Trademark Office.

The filing date accorded (April 3, 2001) is incorrect and should instead be April 2, 2001, and Applicants' Petition For Correction of the same should be granted.

A check for \$130.00 covering the petition fee under 37 C.F.R. §1.17(h) is enclosed. As this appears to be an error of the part of the Patent and Trademark Office, we respectfully request that the petition fee be refunded. Should any questions arise concerning the foregoing, please contact the undersigned attorney at the number given below.

Respectfully submitted,

  
Randy J. Pritzker  
Registration No. 35,986  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Tel. (617) 720-3500

Docket No. L0562/7015  
Dated: March 7, 2002  
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ATTORNEY'S DOCKET NO. L0562/7015

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William Wheeler et al.  
Serial No: 09/825,450  
Confirmation No.: 1789  
Filed: April 2, 2001 (April 3, 2001)  
For: METHOD AND APPARATUS FOR MAIL MANAGEMENT  
Examiner: --  
Art Unit: 2152

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box DAC, Assistant Commissioner for Patents, Attn: Office of Patent Legal Administration, Washington, D.C. 20231 on the 7<sup>th</sup> day of March, 2002.

Judith M. Schultz

BOX DAC  
ASSISTANT COMMISSIONER FOR PATENTS  
ATTN: OFFICE OF PATENT LEGAL ADMINISTRATION  
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- [X] Petition for Correction of Filing Date
- [X] Copies of the following items:
- Utility Patent Application Transmittal form
  - Express Mail receipt
  - Delivery information form from the U.S. Postal Service
  - Filing Receipt
- [X] Return Receipt Postcard

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If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$130.00 is enclosed. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Randy J. Pritzker, Reg. No. 35,986  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617)720-3500

Date: March 7, 2002  
XNDD



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Attorney Docket No.: L0562/7015

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	<b>L0562/7015</b>
	First Named Inventor or Application Identifier	
	<b>William Wheeler, et al.</b>	
	Express Mail Label No.	<b>EL 711223025US</b>
	Date of Deposit	<b>April 2, 2001</b>

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents</i>	<b>ADDRESS</b> Box Patent Application TO: Commissioner for Patents Washington, DC 20231
<p>1. <input type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input type="checkbox"/> Statement filed in prior application, status still proper and desired.</p> <p>3. <input checked="" type="checkbox"/> Specification -Total pages - 18 13 - pages description 1 - pages abstract 8 - pages claims 50 - Total claims</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) 11 - Total sheets <input checked="" type="checkbox"/> Informal <input type="checkbox"/> Formal 11 - Total drawings</p> <p>5. <input type="checkbox"/> Oath or Declaration 11 - Total pages a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) (Note Box 6 below) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation by Reference (usable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 18.</p> <p>7. <input type="checkbox"/> Application Data Sheet, See 37 CFR 1.76</p>	<p>8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix)</p> <p>9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>10. <input type="checkbox"/> Assignment Papers/cover sheet &amp; documents(s)</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>12. <input type="checkbox"/> English Translation of Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>17. <input checked="" type="checkbox"/> Other: Claims priority to Provisional Application No. 60/193,821 _____ _____ _____</p>

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18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_, filed on \_\_\_\_\_, entitled \_\_\_\_\_ and now \_\_\_\_\_.

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



OR

ATTORNEY'S NAME	Ronald J. Kransdorf, Reg. No. 20,004				
FIRM NAME	Wolf, Greenfield & Sacks, P.C.				
ADDRESS	600 Atlantic Avenue				
CITY	Boston	STATE	MA	ZIP	02210
COUNTRY	USA	TELEPHONE	(617) 720-3500	FAX	(617) 720-2441
20. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED					
NAME	Ronald J. Kransdorf, Reg. No. 20,004				
SIGNATURE					
DATE					

**UNITED STATES PATENT & TRADEMARK OFFICE**  
Washington, D.C. 20231

**REQUEST FOR PATENT FEE REFUND**

1 Date of Request: 5/23/02      2 Serial/Patent # 09/825,450

3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT
<input type="checkbox"/>	Filing			\$
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<input type="checkbox"/>	Extension of Time			\$
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<input checked="" type="checkbox"/>	Petition	5	3/75/02	\$ 130.00
<input type="checkbox"/>	Issue			\$
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<input type="checkbox"/>	Assignment			\$
<input type="checkbox"/>	Other			\$

	7 TOTAL AMOUNT OF REFUND	\$130.00
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8 TO BE REFUNDED BY:

10 REASON:			Treasury Check	
	Overpayment	X		Credit Deposit A/C #:
	Duplicate Payment			
✓	No Fee Due (Explanation):			23--2825

*Ref to petition*

11 REFUND REQUESTED BY:

TYPED/PRINTED NAME: E. Shurene Willis      TITLE: Petitions Atty  
SIGNATURE: [Signature]      PHONE: 308-6712  
OFFICE: Office of Petitions

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APPROVED: [Signature]      DATE: 5-24-02

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Refund Branch  
Crystal Park One, Room 802B**



02/12/02 08:04 CCM HOSTFAX SAN MAT p1  
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**UNITED STATES  
POSTAL SERVICE**

Date: 02/12/2002

Fax Transmission To: JUDY SCHULTZ  
Fax Number: 617-720-2441

Dear JUDY SCHULTZ:

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Signature of Recipient: Delivery Section

*RAHIAH*

Address of Recipient: PATENTS  
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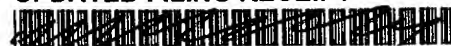
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/825,450	04/03/2001	2152	1460	L0562/7015	11	50	4

CONFIRMATION NO. 1789 ✓

UPDATED FILING RECEIPT



\*OC00000006557050\*

23628  
WOLF GREENFIELD & SACKS, PC  
FEDERAL RESERVE PLAZA  
600 ATLANTIC AVENUE  
BOSTON, MA 02210-2211

Date Mailed: 09/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

William Wheeler, San Springs, OK;  
Dennis L. Kenbeek, Broken Arrow, OK;  
Duane Cooper, Rome, PA;  
Theresa Bennett, Endicott, NY;  
William Terry, Barton, NY;

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**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/193,821 03/31/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 05/22/2001

Projected Publication Date: 12/27/2001

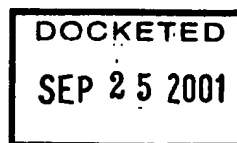
Non-Publication Request: No

Early Publication Request: No

**Title**

Method and apparatus for mail management

**Preliminary Class**



RJK

File Folder	<input checked="" type="checkbox"/>	Initials
ECB	<input checked="" type="checkbox"/>	
Docket Entry	<input checked="" type="checkbox"/>	
Docket Cross Off	<input checked="" type="checkbox"/>	
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Confirmation	<input type="checkbox"/>	

10/14/01-mfa  
12/27/01/  
04/03/02(2)-afe for 04/02/02  
06/13/02-afe

709

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Data entry by : LUENG, YIN

Team : OIPE

Date: 09/14/2001



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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